State-of-the-art enterprise trade surveillance across all lines of defense

VALIDUS by **EVENTUS**

OVERVIEW

Validus is a SaaS trade surveillance platform founded by a former Chief Compliance Officer and a team of seasoned post-trade operations technologists.
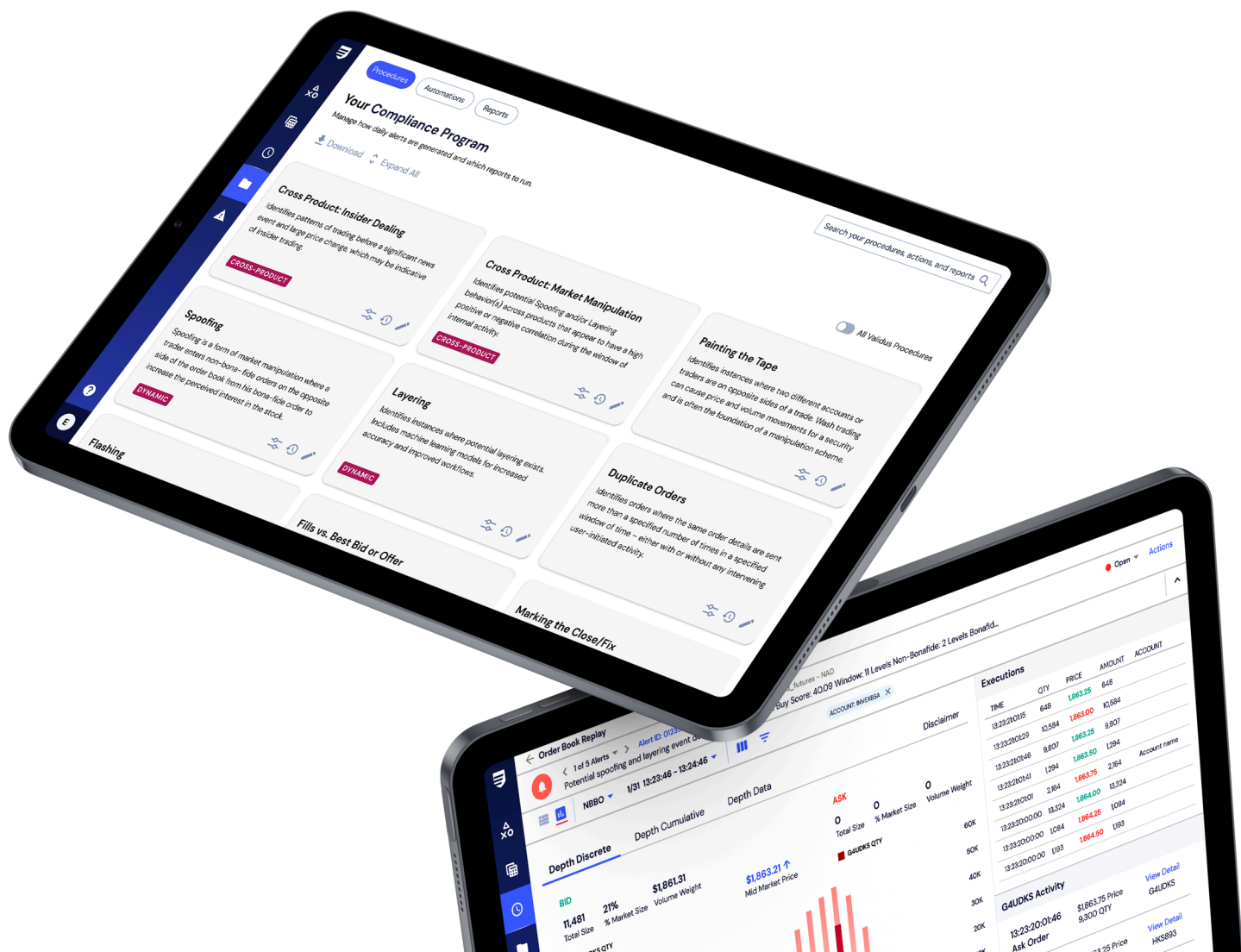
Today, compliance veterans leverage real-world experience to deliver our state-of-the-art solutions, which enable our clients to combat their biggest challenges. As an enterprise leader in trade surveillance technology across multiple asset classes, Eventus understands the importance of providing a proven, flexible and scalable solution that helps lower the total cost of ownership (TCO) across the trade surveillance landscape.
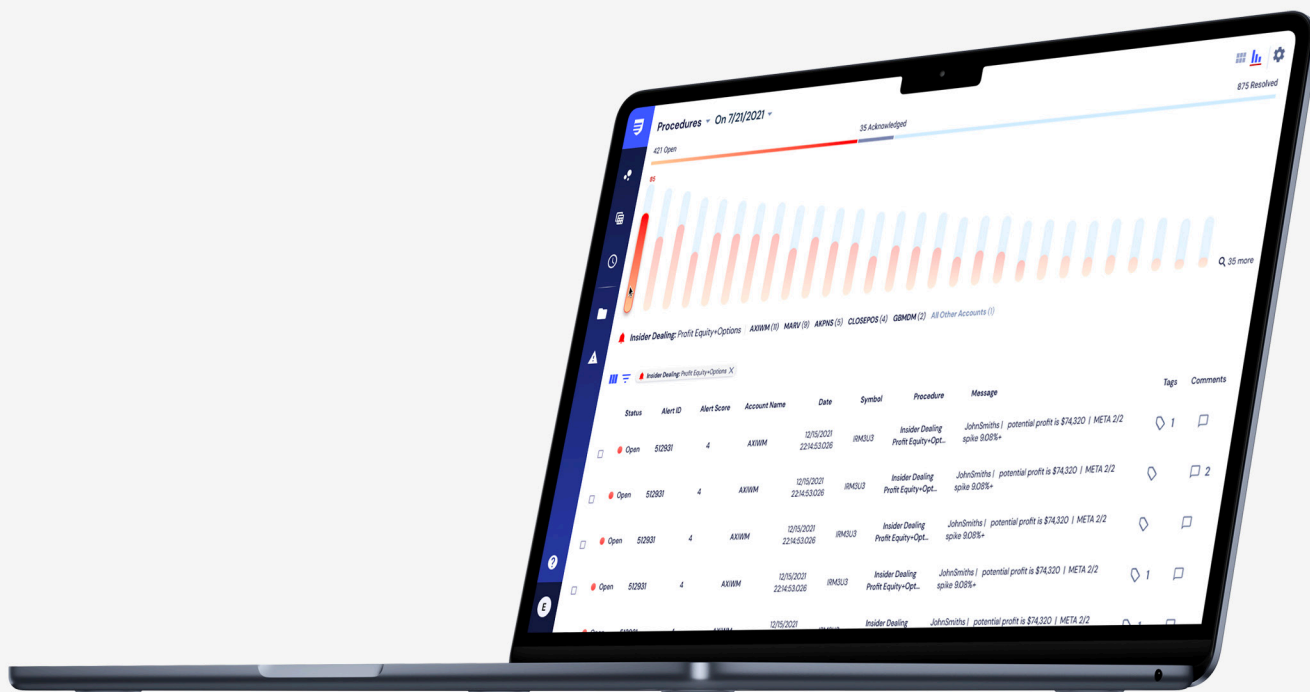
Validus combines a sophisticated back-end along with a modern, easy-to-use front-end to create a seamless user experience. Robotic Process Automation (RPA), machine learning (ML) and procedural automation drive anomaly detection and pattern matching designed to escalate the most actionable alerts.

The Eventus team provides a predictable and reliable implementation delivery model, alleviating the burden of data ingestion and allowing clients to focus on their core operations. The methodology is based on a collaborative, ongoing relationship with our clients.

TAKE CONTROL OF YOUR SURVEILLANCE

✓ **Increase Surveillance Efficiency:** Save time and reduce TCO through intuitive alert management workflows to provide faster insights.

✓ **Focus on Actionable Alerts:** Greater visibility and transparency into the most critical alerts.

✓ **High Volume Scalability:** Validus travels and scales with our clients. Battle-tested to process upwards of 150,000 messages per second and over 4 billion messages per day for a single client.

✓ **Flexibility and Personalization:** Empowered users can manage procedures and parameters tailored to your business.

✓ **Comprehensive Coverage:** Right-sized surveillance with global coverage across all lines of defense.

DIFFERENTIATORS

Responsive

As industry veterans, we understand that compliance teams need a flexible and responsive trade surveillance solution unique to their firm's needs. Validus technology is designed to adapt to those demands and allows compliance leaders to take control of their surveillance requirements.

Innovative

Innovation is embedded in the core of our company and is what drives us to continuously refine and enhance our solutions. We invest heavily in research and development, constantly seeking efficient ways to address emerging compliance challenges.

At-Scale

Validus provides trade surveillance, compliance and market risk solutions built for the most complex, high-volume and real-time environments. Our technology is rooted in actionable, scalable, real-time data allowing surveillance teams to swiftly escalate actionable alerts and protect their firm from risk.

Expertise

Led by global industry practitioners, the Eventus team leverages real-world experience to deliver solutions to address clients' needs. With an unparalleled depth of knowledge in trade surveillance and regulatory compliance, we understand how to navigate market complexities first-hand. Our collaborative approach fosters a true partnership that goes beyond technology implementation.

CORE FEATURES

- **Intuitive Alert Workflow:** Comprehensive workflow management with custom alert actions, escalations and tagging with comments as well as alert recall

- **Automations:** Provide more actionable alerts with pattern and practice analysis through the use of RPA and supervised ML

- **Advanced Analysis:** Deep investigative tools and rich visualization engines display order lifecycle and market data for alert insights and quick resolution

- **Flexible and Comprehensive Coverage:** Over 150 procedures out-of-the-box based on our industry expertise and customer insight, plus the ability to personalize and configure any procedure

- **Powerful Reporting:** Centralized reporting interface (Compliance Home) showcasing all preferred and custom reports

- **Integration with Third-Party Data:** Comprehensive integration to ingest your order lifecycle data with coverage across markets

- **Simple Ingest of Customer Data:** Full service, simple and quick methodology to import and update customer data from your format

- **Risk Controls:** Post-trade review of compliance with financial limits and written supervisory procedures

- **Algo Monitoring:** Real-time monitoring of algorithmic trading flows

eventus.com

Eventus provides state-of-the-art, at-scale trade surveillance software across all lines of defense. Its powerful, award-winning Validus platform is easy to deploy, customize and operate across equities, options, futures, foreign exchange (FX), fixed income and digital asset markets

EVENTUS